

APPLICATION FOR DIRECTORS AND OFFICERS' LIABILITY INSURANCE PUBLICLY TRADED COMPANIES

NOTICE

This is an Application for a claims-made policy. If a policy is issued, this application or a similar version provided by the issuing carrier will become attached to and form a part of the policy. If a policy is issued, please review the policy carefully and discuss the coverage with your agent or broker.

Limits of Insurance Requested _____

Deductible Requested _____

Name of Parent Company			
Address			
City	State	Zip Code	State of Incorporation

1. The Officer designated by the Company to receive notices from the Insurer concerning this insurance is:

Name _____ Title _____

2. The Company has continuously carried on business since _____

3. Primary SIC Code(s) of operations _____

4. Stock ownership:

(a) Ticker symbol and exchange _____

(b) Number of common shares outstanding _____

(c) Number of common stock shareholders _____

(d) Number of common shares owned by the Directors and Officers _____

(e) Does any shareholder own directly or beneficially 10% or more of the common stock outstanding? Yes No
If "Yes", please provide details in an attachment to this Application, including names and percentage holdings.

(f) Does the Company have any securities that are convertible to common stock? Yes No
If "Yes", please provide details in an attachment to this Application.

5. During the last 18 months, has the Company or its Subsidiaries completed or considering completing within the next 12 months a filing for a public offering of securities? Yes No
If "Yes", please provide details in an attachment to this Application.

6. Have there been any changes in senior management (Board Chairman, President, Executive Vice President, etc.) in the last 5 years for reasons other than death or normal retirement? Yes No
If "Yes", please provide details in an attachment to this Application.

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7. Does the Company have a policy governing trading in Company securities or trading based upon non-public information by Directors, Officers and employees? **If "Yes"**, respond to (a) and (b) below. Yes No
 (a) Are Directors and Officers required to sign an insider trading compliance agreement? Yes No
 (b) Does the company have procedures in place to monitor compliance with this policy? Yes No
If "No", please provide details in an attachment to this Application.
8. Indicate the formal written policies or procedures the Board of Directors has implemented:
 None Merger and Acquisition Procedures Conflict of Interest Policy
 Related Party Transactions Selection Process for New Directors Audit Policy
9. Does the Board of Directors regularly review the following?
 None Financial Statements of the Corporation
 Threatened or Actual Litigation Investment Activities (Purchase, Sales, Gains & Losses)
10. Has the Company been involved in any bankruptcy proceedings within the last 3 years or is the company contemplating any bankruptcy proceeding within the next 12 months? Yes No
If "Yes", please provide details in an attachment to this Application.
11. During the last 3 years, has the Company or its Subsidiaries been involved, or presently involved or considering becoming involved, during the next 12 months, any merger, consolidation, acquisition, tender offer, or divestiture of an subsidiary? Yes No
12. By attachment to this Application, provide the name, percentage of direct or indirect ownership, and nature of operations of all Subsidiaries (Subsidiaries of Subsidiaries). **If "None"**, please indicate: None
13. During the last 5 years, has the Company or any of its Directors or Officers' been involved in any of the following:
 (a) any anti-trust, copyright or patent litigation? Yes No
 (b) any civil or criminal action or administrative proceeding charging a violation of any federal or state security law or regulation? Yes No
 (c) any representative actions, class actions or derivative suits? Yes No
 (d) any other civil or criminal action or administrative proceeding involving the Company? Yes No
If "Yes" to any of the above, please provide details in an attachment to this Application.
14. Has there been, or is there now pending, any suit or claim against the Company, its subsidiaries Or any person in their capacity as either Director, Officer, or employee of the Company? Yes No
If "Yes", please provide details.

PERTAINING TO QUESTIONS 13 AND 14, IT IS AGREED THAT ANY CLAIM ARISING THEREFROM IS EXCLUDED FROM THE PROPOSED COVERAGE.

15. Provide the following insurance information regarding the Company's Insurance: If **"None"**, so state: None

	Carrier	Expiration Date	Limit	Retention	Premium
Errors & Omissions					
Fiduciary Liability					
General Liability					
Directors & Officers' Liability					

- (a) Has any claim been made or has notice been given under any Directors' and Officers' Liability Policy purchased by the Company? Yes No
 (b) Has any carrier refused, cancelled or non-renewed the Company's Directors' and Officers' Liability Policy? Yes No

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